

# Construction of NO<sub>x</sub> Emission States Identification Method for Heavy-Duty Diesel Vehicles: A Case Study of Nanjing

Session No.: SP-05

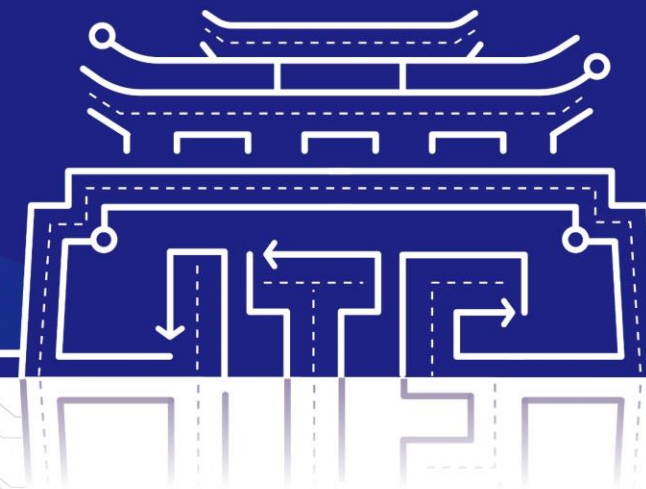
Session Date: 2023/10/17

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Speaker's Organization: Southeast University



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## 01 Background

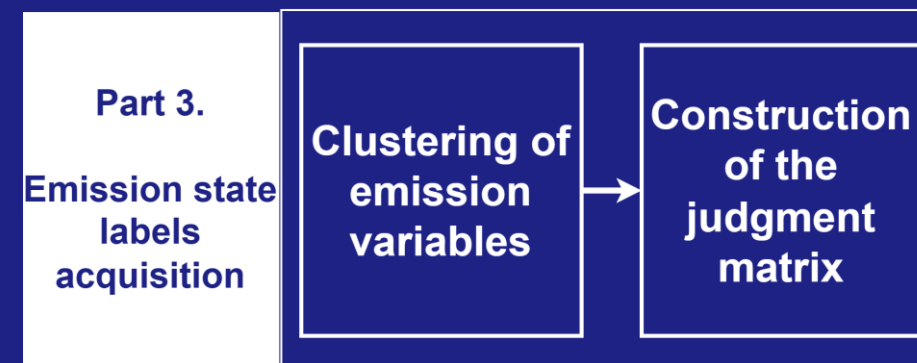
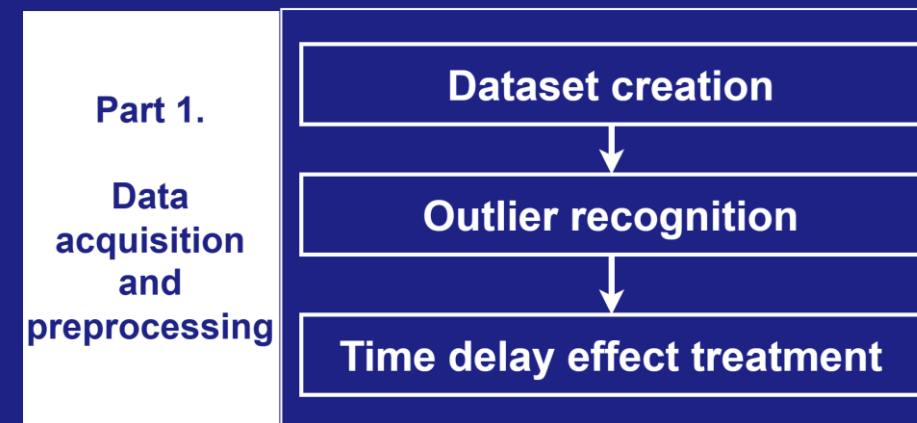
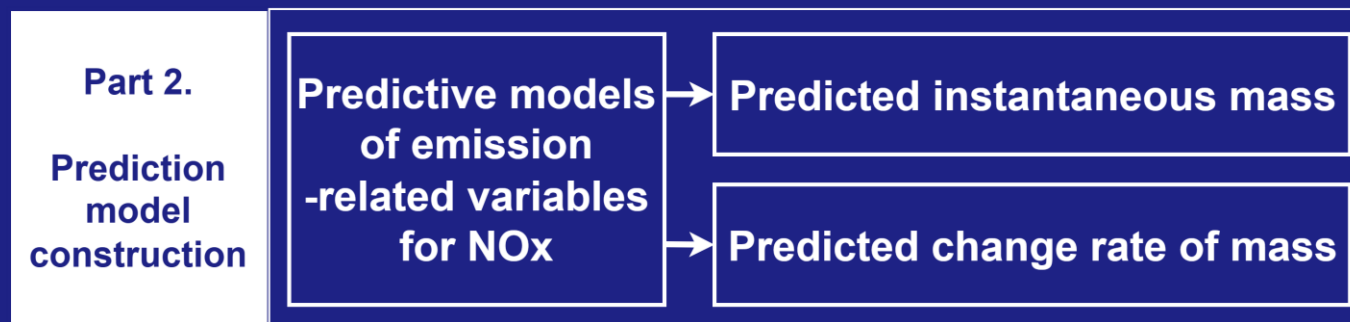
- ❑ The exhaust pollution from road vehicles is becoming increasingly serious.
- ❑ The NOx emissions generate by heavy-duty diesel vehicles are enormous.
- ❑ There is **no clear and quantitative definition** of high emission state during vehicle driving.
- ❑ The consideration of **the continuity characteristics of emissions** is missing.



# 01 Introduction

## An emission states identification method

- 1) Based on PEMS high-precision emission data
- 2) Considered the time series characteristics of instantaneous mass of NO<sub>x</sub> and its change rate
- 3) Provided definition of different emission states.



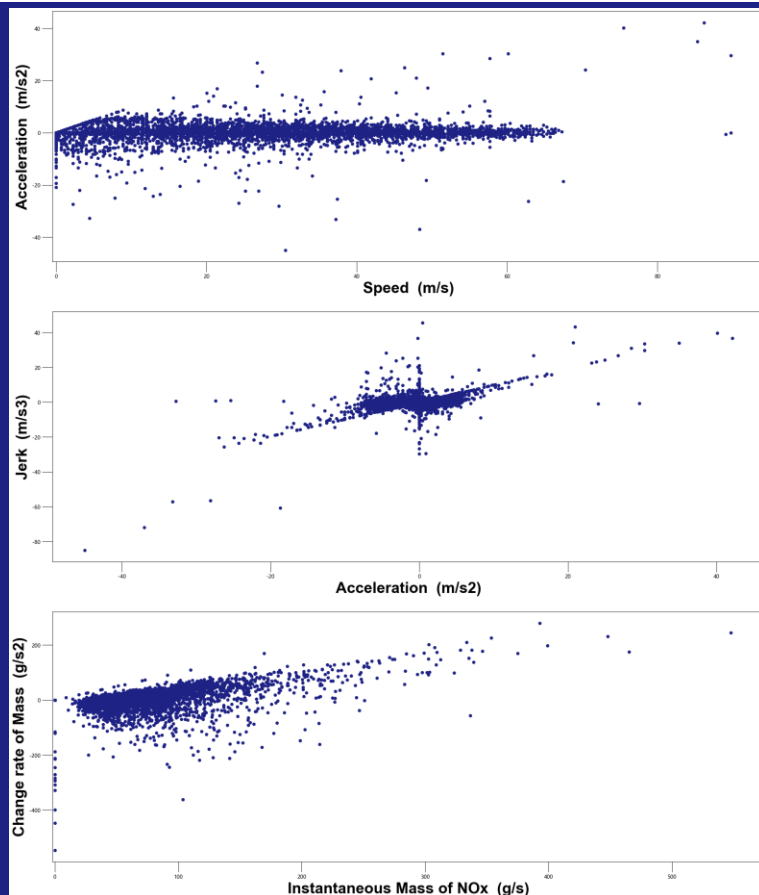
## 02 Methodology

**2.1 Data acquisition and preprocessing**

**2.2 Prediction model construction**

**2.3 Emission state labels acquisition**

## 02 Methodology Data acquisition and preprocessing



### Driving-related data:

- Speed
- Acceleration
- Jerk

### Emission-related data:

- Corrected Instantaneous Mass of NOx
- Change Rate of Mass

### Missing value:

- Time-Gap

### Outliers:

- Driving-related: Data distribution
- Emission-related: Isolation degree

## Time-Lag: The time-delay effect processing method based on the micro-driving cycle characteristics

**Step 1**  
(For basic time-lag)

$$r_k = \frac{S_k}{S^2} = \begin{cases} \frac{1}{n-k} \sum_{t=1}^{n-t} \left( \frac{X_t - \bar{X}}{S} \right) \left( \frac{Y_{t+k} - \bar{Y}}{S} \right), & k \geq 0 \\ \frac{1}{n+k} \sum_{t=1}^{n-t} \left( \frac{X_t - \bar{X}}{S} \right) \left( \frac{Y_{t+k} - \bar{Y}}{S} \right), & k \leq 0 \end{cases}$$

$$TimaLag_{basic} = \frac{\sum_{i=1}^m \tau_i}{m}$$

**Step 2**  
(For correction factor)

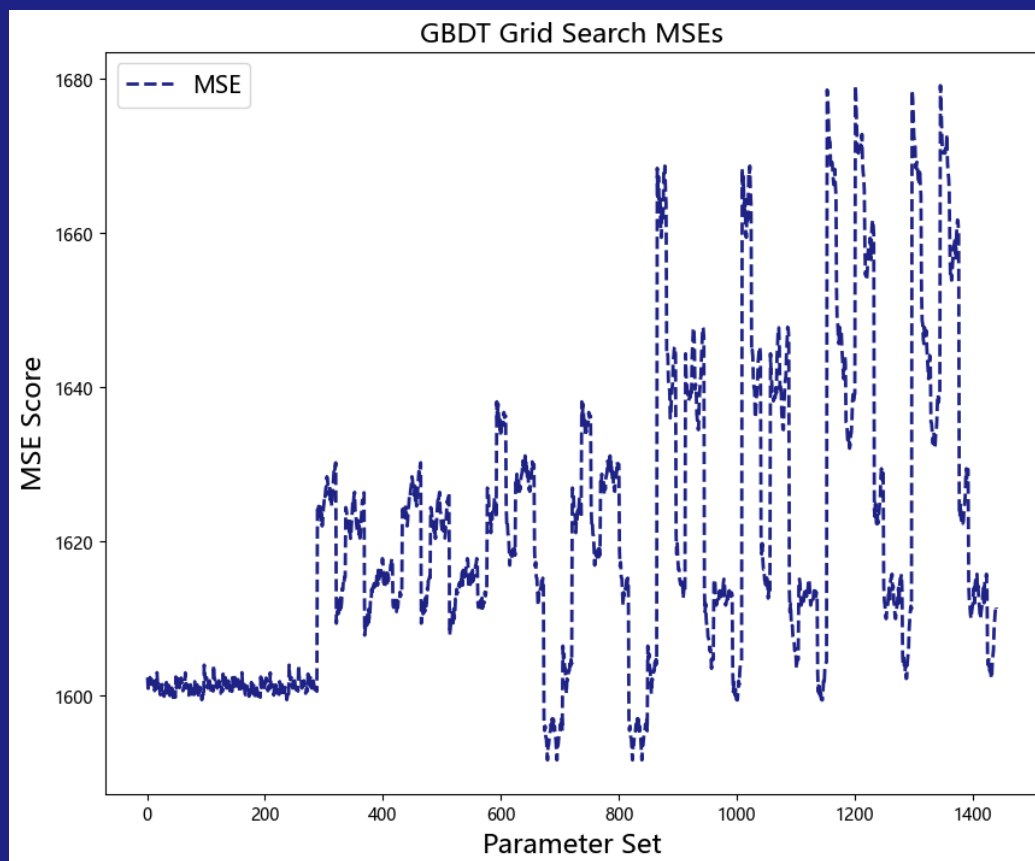
$$\alpha_i = \frac{\overline{Speed}_i}{\overline{Speed}} \times \omega_{AS} + \frac{\sigma_{Speed_i}}{\sigma_{Speed}} \times \omega_{\sigma S} + \frac{\overline{Acc}_{+i}}{\overline{Acc}_{+}} \times \omega_{AA+} + \frac{\overline{Acc}_{-i}}{\overline{Acc}_{-}} \times \omega_{AA-} + \frac{\sigma_{Acc_i}}{\sigma_{Acc}} \times \omega_{\sigma A}$$

**Step 3**  
(For final time-lag)

$$TimeLag_i = TimaLag_{basic} \times (1 + \alpha_i)$$



## 02 Methodology Prediction model construction



### Random Forest Model:

- $n_{estimators} = 130$
- $max_{depth} = 26$

### GBDT model:

- $n_{estimators} = 32$
- $learning_{rate} = 0.093$

### XGBoost model:

- $n_{estimators} = 16$
- $learning_{rate} = 0.079$



## 02 Methodology Emission state labels acquisition

$$E_{DBI} = \frac{1}{k} \sum_{i=1}^k \max_{j \neq 1} \left[ \frac{avg(C_i) + avg(C_j)}{d_{cen}(u_i, u_j)} \right]$$

Automatic boundary detection

Initial K-Center algorithm

Davies-Bouldin Index

<u>Judgment Matrix for NOx Emission States Labels</u>			Instantaneous Mass of NOx		
			Low-value	Normal-value	High-value
Change Rate of Mass	Low-value		Low	Lower	Normal
	Normal-value		Lower	Normal	Higher
	High-value		Normal	Higher	High

## 03 Case study

- 3.1 Analysis of prediction model performance
- 3.2 Comparison of clustering methods
- 3.3 Driving characteristics in emission states

## 03 Case Study

## Emission prediction model for NOx



### R-Square ( $R^2$ ) of instantaneous mass

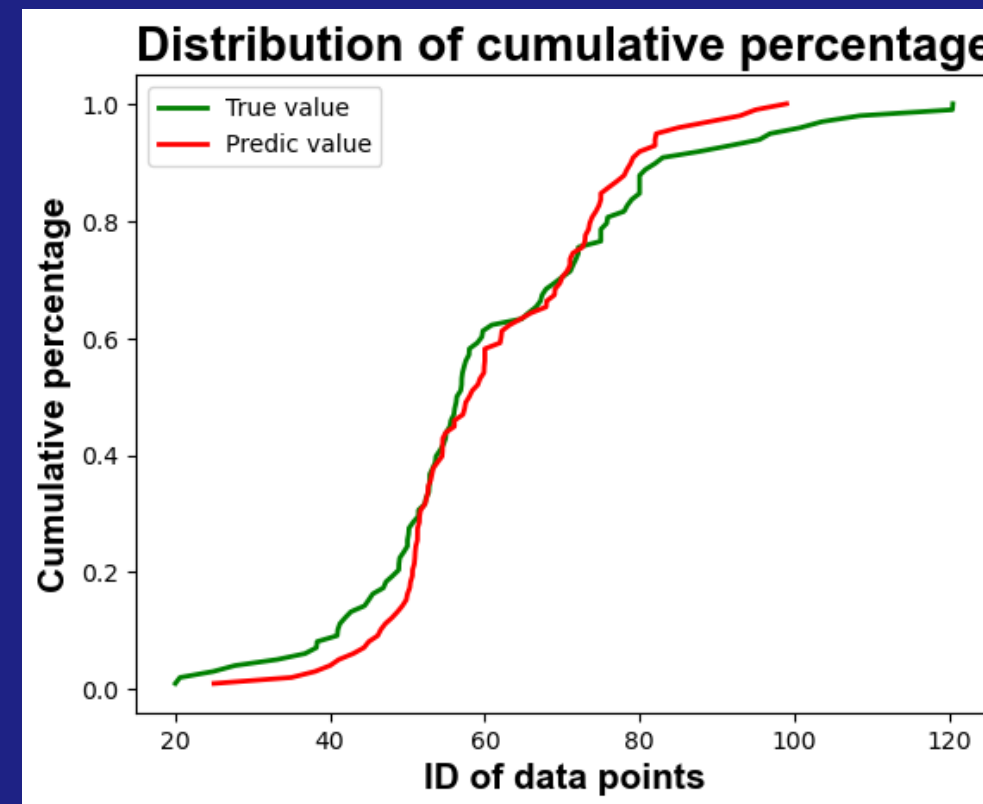
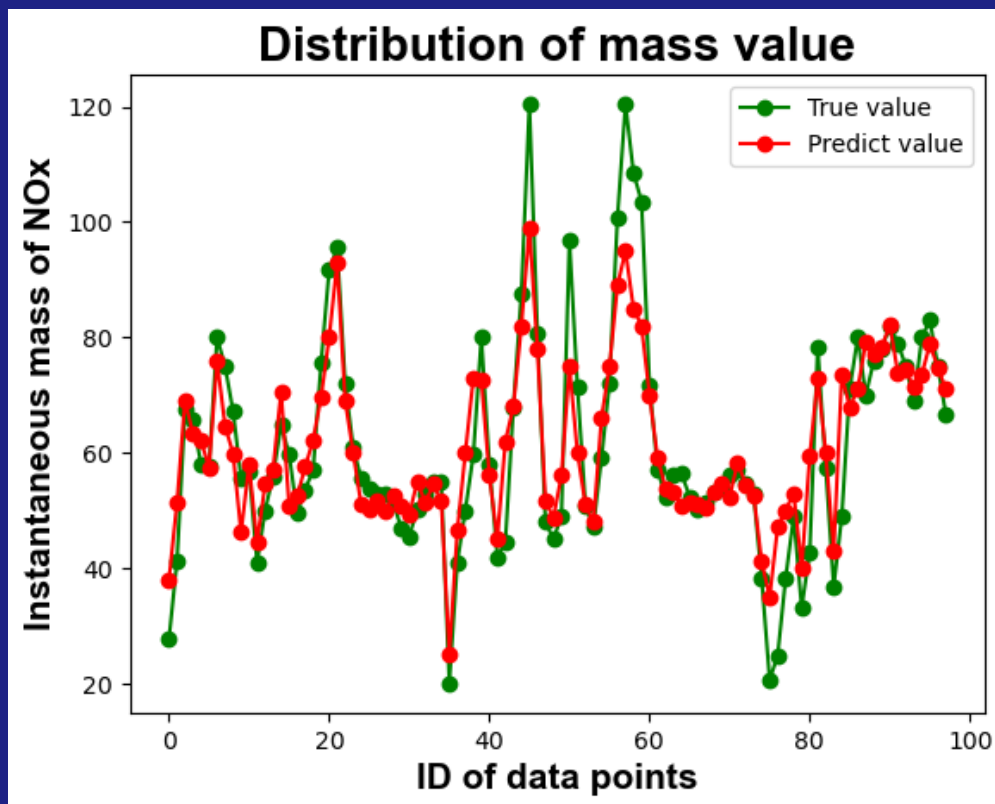
Random Forest	GBDT	XGBoost	Fusion
0.735→0.735	0.783→0.806	0.748→0.759	0.528

### R-Square ( $R^2$ ) of change rate of mass

Random Forest	GBDT	XGBoost	Fusion
0.668→0.668	0.790→0.792	0.603→0.653	0.498

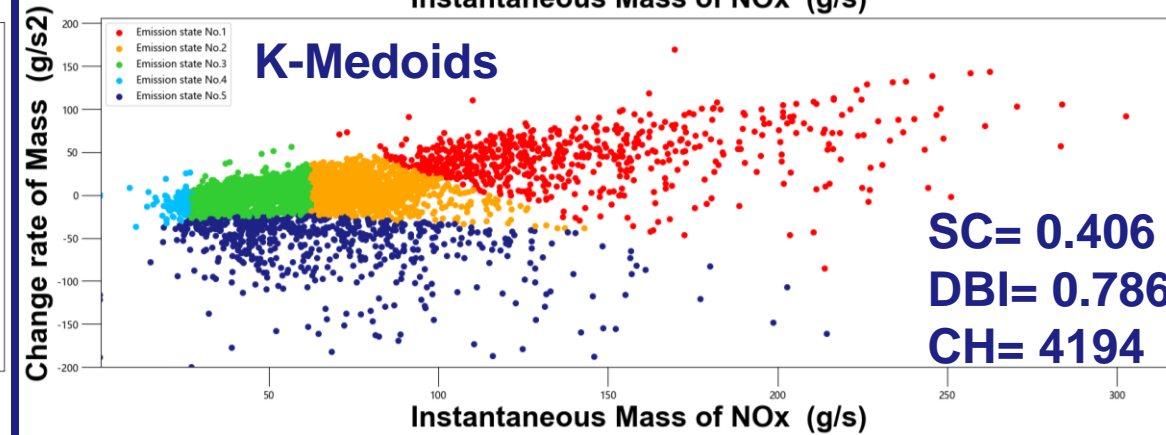
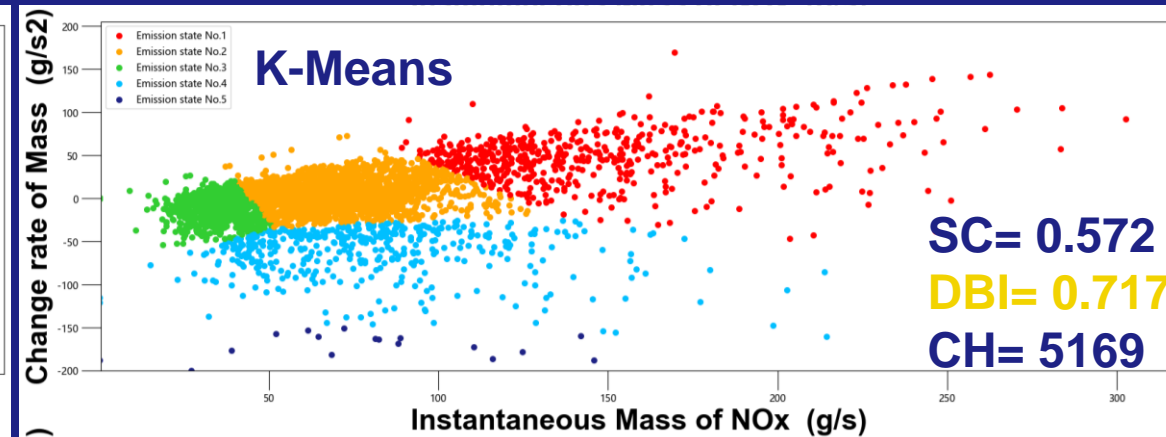
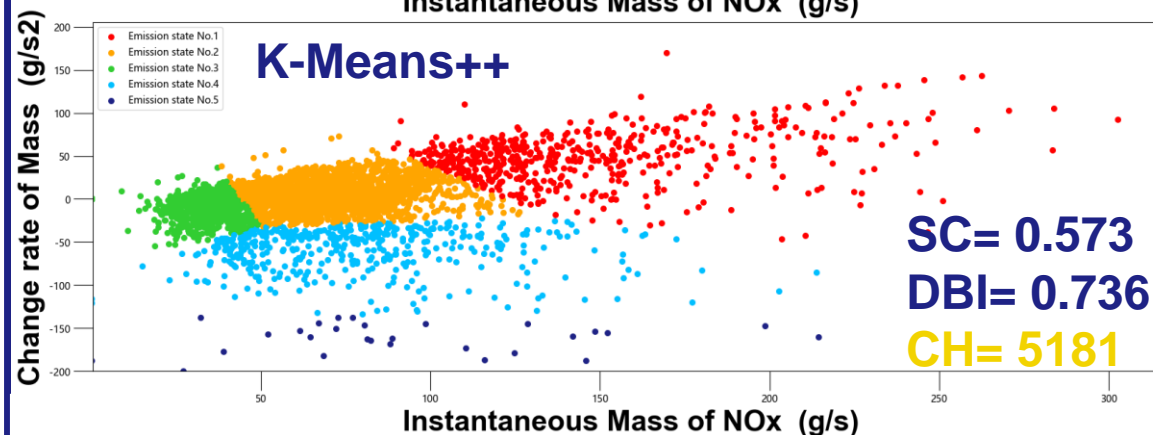
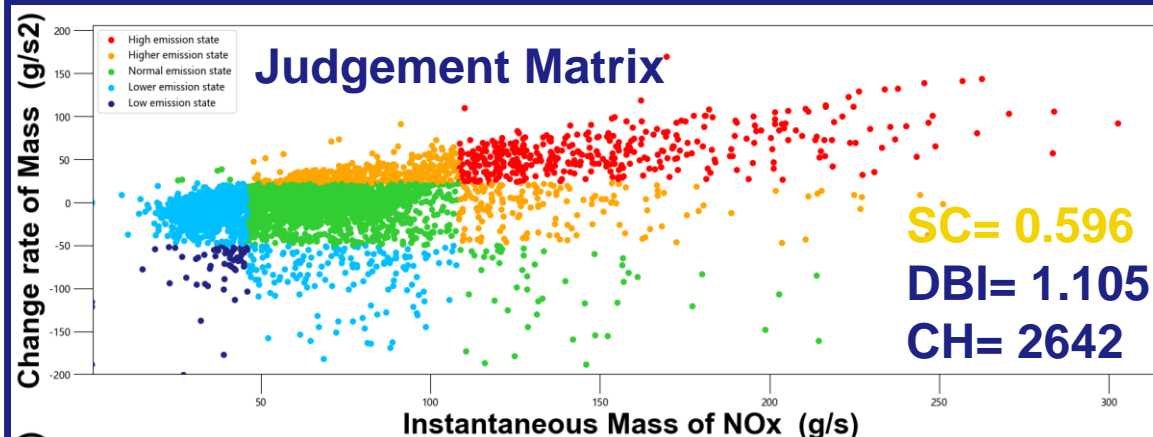
## 03 Case Study

## Prediction model selection and performance analysis



## 03 Case Study

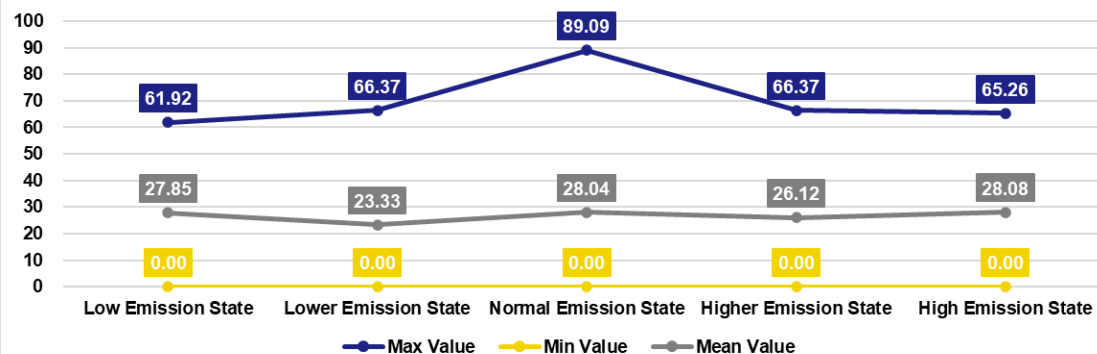
## Comparison of different clustering methods



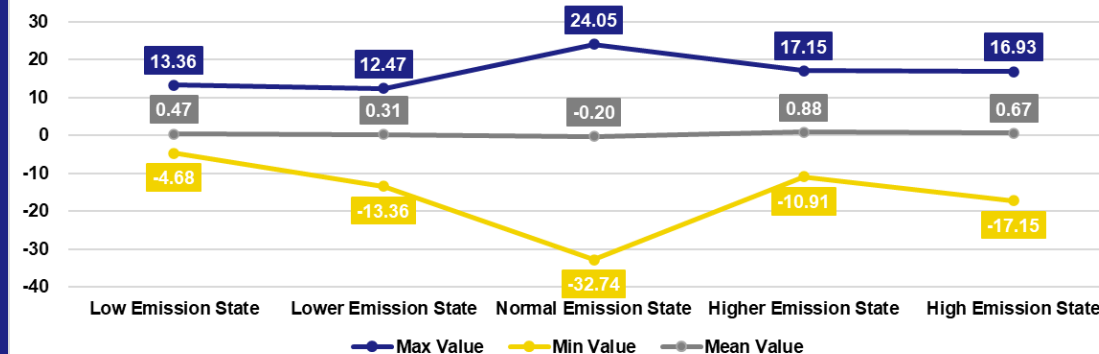
## 03 Case Study

## Driving characteristics in high / low emission state

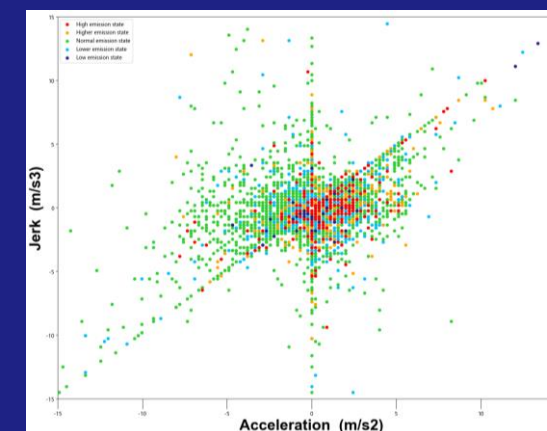
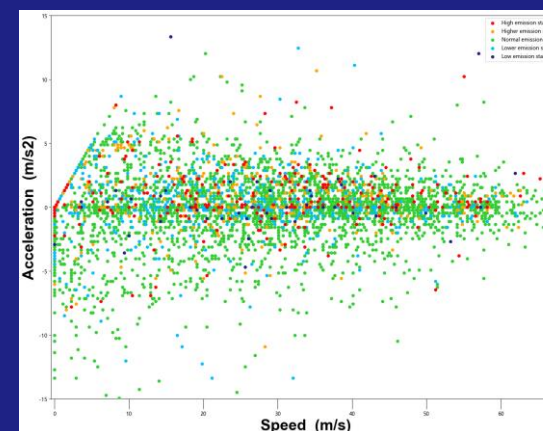
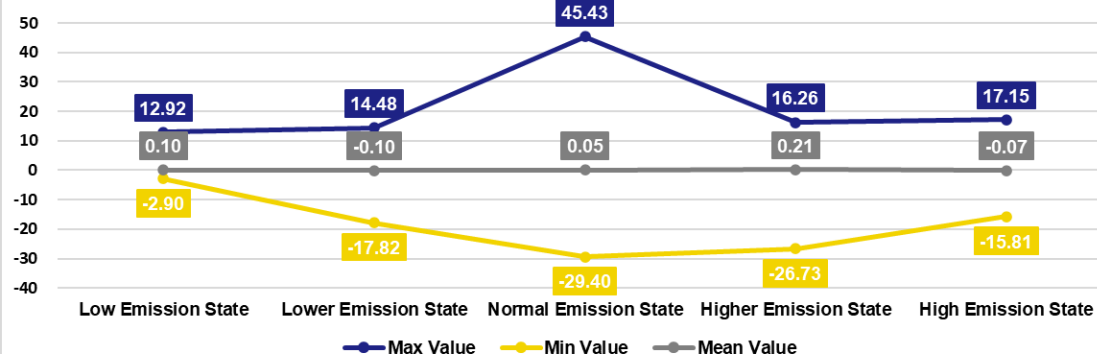
Distribution of statistical characteristics of speed



Distribution of statistical characteristics of acceleration



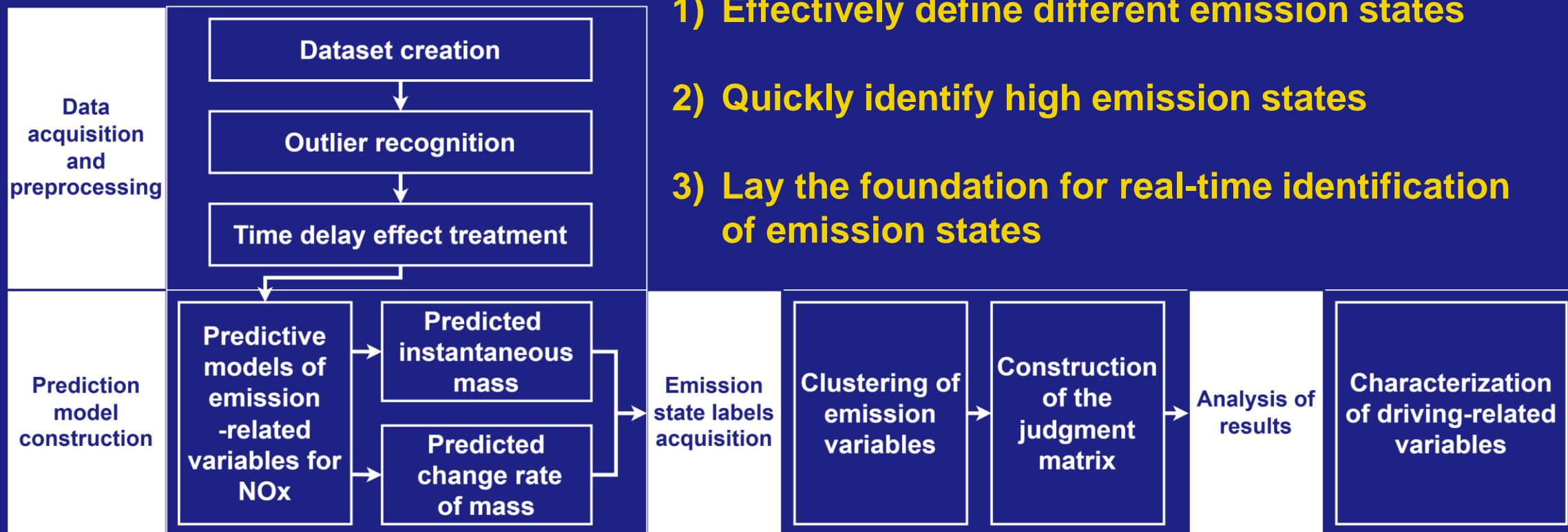
Distribution of statistical characteristics of jerk





## 04 Conclusion

- 1) Effectively define different emission states
- 2) Quickly identify high emission states
- 3) Lay the foundation for real-time identification of emission states





# ACKNOWLEDGEMENTS



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# Thank you for your attention.

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